UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF FLORIDA

Case No.: 1:25-CV-21176-DPG

DAVID JANNETTI, SARAH LYN JANNETTI, ADAM JANNETTI, and LEAH JANNETTI,

Petitioners,

v.

STIFEL, NICOLAUS & CO., INC.,

Respondent.

PETITIONERS' REVISED NOTICE OF RESPONSE TO RESPONDENT'S NOTICE OF SUPPLEMENTAL AUTHORITY¹

The administrative decision submitted by Respondent is inapplicable. *Sustana* involved removal of a potential arbitrator *prior* to the ranking of arbitrators. The request was decided under Rule 12407(a), which permits removal if it is "reasonable to infer" the *potential* arbitrator is biased. Here, Respondent sought to remove Charny *after* commencement of the first hearing session (and after Respondent ranked Charny). Respondent's request was denied under Rule 12407(b), which permits removal of an arbitrator after the hearing begins only if the arbitrator fails to disclose information required to be disclosed that was not previously known by the parties.

Moreover, the Rule 12407(a) removal standard is less strict than the FAA standard to

¹ Respondent filed its notice of supplemental authority on October 30, 2025. [ECF No. 47.] Petitioners initially filed their response on November 7, 2025. [ECF No. 48.] The response was filed one day late under Local Rule 7.8 due to a family medical emergency affecting the undersigned counsel. Petitioners have withdrawn their initial response because it exceeded the word limit imposed by Local Rule 7.8. [ECF No. 49.] Petitioners submit this revised response in its stead, and apologize to the Court for the inconvenience.

vacate for evident partiality. Rule 12407(a) permits removal if it is "reasonable to infer" bias, while the FAA requires evidence of bias that is direct and definite. The Director in *Sustana* ruled that the potential arbitrator "may be" biased, which is the type of speculation that cannot support a finding of evident bias under the FAA. Thus, the ruling constitutes an administrative decision that has no precedential value. It is not controlling -- or persuasive -- with respect to vacatur based on evident partiality. (*See* Pet. Mem., Section II.B.)

Dated: November 10, 2025 Respectfully submitted,

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CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing Petitioners' Revised Notice of Response to Respondent's Notice of Supplemental Authority was served on this 10th day of November, 2025 on all counsel of record via the Court's CM/ECF system.

/s/ Jonathan E. Minsker
Jonathan E. Minsker